

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.

(19) World Intellectual Property Organization  
International Bureau(43) International Publication Date  
21 June 2001 (21.06.2001)

PCT

(10) International Publication Number  
WO 01/44396 A1(51) International Patent Classification<sup>7</sup>: C09G 1/02Road, Chadds Ford, PA 19317 (US). THOMAS, Terence,  
M.; 209 Cullen Way, Newark, DE 19711 (US).

(21) International Application Number: PCT/US00/33527

(74) Agent: BENSON, Kenneth, A.; Rodel Holdings, Inc.,  
1105 North Market Street, Suite 1300, Wilmington, DE  
19899 (US).

(22) International Filing Date:

11 December 2000 (11.12.2000)

(25) Filing Language:

English

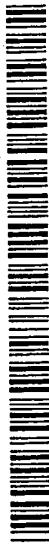
(81) Designated States (national): JP, KR, SG.

(26) Publication Language:

English

(84) Designated States (regional): European patent (AT, BE,  
CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC,  
NL, PT, SE, TR).

(30) Priority Data:

60/170,612 14 December 1999 (14.12.1999) US  
60/171,553 22 December 1999 (22.12.1999) US(71) Applicant: RODEL HOLDINGS, INC. [US/US]; 1105  
North Market Street, Suite 1300, Wilmington, DE 19899  
(US).Published:  
— With international search report.  
  
For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.(72) Inventors: SACHAN, Vikas; 121 Brook Run, Hockessin,  
DE 19707 (US). REINHARDT, Heinz, F.; 19 McCarthy

WO 01/44396 A1

(54) Title: POLISHING COMPOSITIONS FOR NOBLE METALS

(57) Abstract: The polishing composition of this invention is useful for chemical mechanical polishing of substrates containing noble metals such as platinum and contains sulfur-containing compounds at about 0.1 % to 50 % by weight of the polishing composition, abrasive particles at about 0.5 % to about 55 % by weight of the polishing composition and water-soluble organic additives up to about 10 % by weight of the polishing composition. The abrasive particles are selected from the group consisting of alumina, ceria, silica, diamond, germania, zirconia, silicon carbide, boron nitride, boron carbide or mixtures thereof. The organic additives improve dispersion of the abrasive particles and also enhance metal removal rates and selectivity for metal removal by stabilizing the pH of the polishing composition and suppressing the dielectric removal rate.

## POLISHING COMPOSITIONS FOR NOBLE METALS

The present invention is directed to a polishing composition or slurry for polishing a semiconductor substrate. It is known from Matsumoto et al, U.S. Pat. No. 5,492,855, issued February 20, 1996, that sulfur-containing gases can be used for dry etching of 5 platinum wherein the dry etching speed is enhanced by the formation of a platinum-sulfur compound.

According to the invention, a ligand-containing compound is present in an aqueous polishing composition for chemical-mechanical polishing of a semiconductor substrate with a noble metal layer, a barrier layer, and a dielectric layer. The ligand present in the ligand-containing compound forms a complex with the noble metal, with the complex having a 10 stability constant in a range of about 5 to about 100.

Embodiments of the invention will now be described by way of example with reference to the accompanying drawings.

Figure 1 is a solubility diagram for solid alumina.

15 While the first applications of known Chemical Mechanical Polishing (CMP) technology were for polishing dielectric films (i.e.,  $\text{SiO}_2$ ), CMP has since been applied to polishing metals such as tungsten, copper and aluminum, typically used for interconnects in integrated circuits. In comparison, CMP for noble metals is less developed. The use of noble 20 metals in semiconductors is gaining more interest since noble metals are used as electrodes and barrier materials in Gigabit DRAMs (dynamic random access memory) and FeRAMs (ferroelectric random access memory). Most metal structures contain three different films or 25 layers: a conductive metal layer (e.g., copper, tungsten or platinum), a barrier or liner (e.g. titanium or tantalum alloy) layer between the conductive metal layer and the adjacent dielectric layer, and a dielectric layer (e.g., silicon oxide or silicon dioxide derived from tetraethyl orthosilicate). Integrated circuits are constructed by depositing successive layers of 30 materials (metal, barrier and dielectric layers) on a wafer made of silicon. After each layer is deposited, the layer is etched to create circuitry features on the semiconductor substrate that act as components of integrated circuits. Due to miniaturization of lines and features in the device circuitry, it is extremely difficult to achieve the correct depth of focus and establish an optimized process for photolithography that will compensate for within-die and die-to-die effects associated with non-planar surfaces. Furthermore, as a series of layers are sequentially deposited and etched, the outermost surface of the substrate, becomes increasingly non-planar.

Nonplanar surfaces on the wafer result in defects in subsequent circuit layers leading to flawed circuitry. It is thus desirable to have a planar surface on each of the successive layers.

The "damascene" process is employed for forming interconnect lines and vias for multi-layer metal structures that provide the "wiring" of an integrated circuit. The damascene 5 technique involves etching a trench in a planar dielectric (insulator) layer and filling the trench with a metal such as aluminum, copper, or tungsten. A technique called "dual-damascene" adds etched vias for providing contact to the lower level as the damascene structure is filled. When copper is used as the filling, typically a layer of another material is first deposited to line the trenches and vias to prevent the migration of copper ions into the 10 dielectric layer. This migration barrier or barrier layer typically comprises tantalum, tantalum nitride, titanium and/or titanium nitride. In addition to the migration barrier, a conductive seed layer of interconnect metal and/or other metals are applied to serve as a good site for electroless or electrolytic plating. More details are found in "Making the Move to Dual Damascene Processing," *Semiconductor International*, August 1997.

15 Capacitors in integrated circuits are fabricated from polysilicon and metal bonded to polysilicon structures. Typically, the integrated circuit has a foundation dielectric, a first (bottom) and a second (top) electrode with dielectric therebetween. The noble metal or alloy thereof is used for the top and the bottom electrode. In an embodiment, a capacitor in an integrated circuit can be substantially planar. Further details about the structure of capacitors 20 in integrated circuits are found in US Pat. No. 6,040,0616. In one embodiment, the polishing composition of this invention finds application in CMP for the manufacture of planar capacitors in integrated circuits or capacitors with a buried barrier architecture.

In a typical CMP process, for example, if the entire planarization step were to take 25 place in one step of polishing, it would be desirable to have high removal rates of material for the metal and barrier layers, while having low removal rates for the dielectric layer. The ratio of metal removal rate to dielectric removal rate is termed the metal selectivity ratio. To make the CMP process effective for metal removal, it is desirable to keep this ratio as high as possible. The polishing composition according to this invention yields substantially planar polished surfaces with a root mean square surface roughness, RMS, values less than 10 30 Angstroms and minimal defects. It is common practice to use a single number (an "RMS" number) to characterize surface roughness. RMS is the root mean square deviation of the polished substrate surface from the average amplitude/height of the substrate surface features.

The present invention is directed to a polishing composition or slurry for polishing a semiconductor substrate comprising noble metals (for e.g. platinum), and/or noble metal alloys, provided as a film or layer on the semiconductor substrate, an associated barrier layer (for e.g. tantalum, tantalum nitride, titanium and/or titanium nitride), and an associated dielectric layer (for e.g. thermal oxide or silicon dioxide derived from tetraethyl orthosilicate (TEOS)) for the manufacture of an integrated circuit. A method for polishing a surface comprising noble metals is also provided. Noble metals as referred to herein include the platinum group elements (iridium, palladium, platinum, osmium, rhodium, and ruthenium), silver, gold, oxides and/or alloys thereof. Polishing composition, as referred to herein, includes abrasive-free polishing compositions and slurries which are polishing compositions containing abrasives. In one embodiment, the invention applies to the manufacture of semiconductor devices with noble metal interconnects. In another embodiment, the invention applies to the manufacture of capacitors in integrated circuits.

In an embodiment, the polishing composition comprises: substantially deionized water with abrasive particles (for e.g. alumina or ceria), along with other additives such as dispersants to keep the abrasive particles in suspension, pH stabilizers (buffers) and other chemicals to enhance the activity of the polishing composition for attaining higher selectivity for removal of the target metal layer (for e.g. platinum). In a specific embodiment, the polishing composition contains water-soluble organic additives up to about 10% by weight of the polishing composition; submicron-sized abrasive particles at about 0.5% to about 55% by weight of the polishing composition; and a ligand-containing compound at about 0.1% to about 50% by weight of the polishing composition wherein the ligand forms a complex with the noble metal, said complex having a stability constant in a range of about 5 to about 100. The water-soluble organic additive contains a moiety such as hydroxy, carboxy, thiol, mercapto, amino and the like and is used to modify the viscosity of the polishing composition; and/or to disperse the abrasive particles in the polishing composition; and/or stabilize the pH of the polishing composition by acting as a pH buffer. Examples of water-soluble organic additives containing carboxy moieties include ammonium hydrogen phthalate and potassium phthalate. An organic compound such as polyvinyl pyrrolidone is used to modify the viscosity of the polishing composition of this invention.

Abrasive particles comprise between 0.5% to 55% by weight of the polishing composition depending on the degree of abrasion required. The abrasive particles can be primary particles having a mean size range of 25 to 500 nm or a mixture of primary particles

and agglomerated smaller particles having a mean aggregate size up to 500 nm (primary particle size range of 5 to 100 nm). In an embodiment, the polishing composition has abrasive particles in a mean size range of 5 to 100 nm and the abrasive particles are made of a material having a hardness of about 4 mohs to about 10 mohs. The abrasive particles and agglomerates 5 may be encapsulated while maintaining the hardness, so that the polished substrate has minimal scratches and defects.

Abrasive particles in the polishing composition include but are not limited to alumina, ceria, germania, silica, titania, zirconia diamond, silicon carbide, boron carbide, boron nitride, or combinations thereof. In one embodiment, the polishing composition contains about 99% 10 alpha-alumina. Commercially, alumina is available as alpha-alumina, gamma-alumina and delta-alumina. These phases result from various steps in the dehydration sequence of hydrated aluminum oxide. Alpha-alumina is harder than gamma-alumina and is preferred for the removal of harder substrates such as tungsten and platinum. The hardness of alumina is dependent upon the weight percent of alpha-alumina. Thus, the surface finish of substrates is 15 controlled via the use of alumina with different weight percentages of alpha-alumina. More details about the use of alpha-alumina in slurries is found in U.S. Pat. No. 5,693,239.

In an embodiment, the polishing composition has abrasive particles with a surface area of about 50 to 400 m<sup>2</sup>/g and a mean aggregate size less than 500 nm. For example, assuming the density of alumina to be 3.96 gm/cc, the theoretical surface area corresponding to a 1 nm 20 diameter spherical particle is about 1,500 m<sup>2</sup>/gm; the theoretical surface area corresponding to a 10 nm diameter spherical particle is about 150 m<sup>2</sup>/gm; and the theoretical surface area corresponding to a 500 nm diameter spherical particle is about 3 m<sup>2</sup>/gm. The actual surface area of the abrasive particles can be measured by the nitrogen adsorption method of S. Brunauer, P. H. Emmet and I. Teller, J. Am. Chemical Society, Volume 60, page 309 (1938) 25 which is commonly referred to as BET measurement. Actual surface area of the abrasive particles is a function of the abrasive particle size distribution (whether monomodal or bimodal) and abrasive particle porosity. The abrasive particle size distribution can be unimodal or bimodal. A unimodal (also referred to as monomodal) particle size distribution has relatively uniformly sized particles whereas a bimodal population contains particles 30 grouped into two distinct populations by particle diameter. Mean particle diameter is typically reported as the particle size for commercially available abrasive materials.

The particles in the polishing composition should be dispersed, and not settle or agglomerate. However, it is understood that depending on the percentages of the primary

particles and agglomerated particles, such particles in the polishing composition may settle and require redispersion by mechanical means such as mixing. High shear mixing is employed for the purpose of redispersion.

An organic compound is used as the dispersion agent. The dispersion agent reduces the tendency of the abrasive particles in the polishing composition to adhere to the substrate surface during post-polishing cleaning. In order to further stabilize the slurry against settling, flocculation and agglomeration a variety of other additives such as surfactants, polymeric stabilizers, or other surface active dispersing agents are also used. Many examples of typical surfactants are found in McCutcheon's Emulsifiers and Detergents, North American and International Edition (McCutcheon Division, The MC Publishing Co; April 2000). The surfactant or dispersion agent is added to the polishing composition in an amount to achieve steric stabilization of the abrasive particles.

A stable polishing composition is one in which the zeta potential is greater than +20 millivolts or less than -20 millivolts. Zeta Potential is the potential difference, measured in a liquid, between the shear plane and the bulk of the liquid beyond the limits of the electrical double layer. The zeta potential of the polishing composition is dependent on the pH, type of abrasive (metal oxide) present and the presence of surfactants, salts etc. As the pH is increased, the surface charge increases negatively or positively away from the isoelectric point, until it reaches a maximum value. The isoelectric point being defined as the pH at which the zeta potential is zero. Zeta potential is measured by several standard techniques based such as electrophoresis and electroacoustic spectroscopy (an interaction of electric and acoustic fields). One can apply a sound field and measure the resultant electric field which is referred to as the colloid vibration potential (CVP), or conversely one can apply an electric field and measure the resultant acoustic field which is referred to as the electronic sonic amplitude (ESA). A typical zeta potential measuring tool is similar to the Acoustic and Electroacoustic Spectrometer, Model DT-1200, manufactured by Dispersion Technology, Inc. Mount Kiso, Kentucky.

Sulfur-containing compounds in an embodiment of the polishing composition enhance the removal of the noble metal present in the substrate being polished. By way of example, an enhanced removal mechanism includes, adsorption of the sulfur-containing compounds onto the noble metal layer in the substrate surface followed by mechanical removal aided by the repetitive motion and friction of the substrate surface against the polishing pad, further aided by an abrasive in the polishing composition. At the interface of the substrate surface and the

surrounding polishing composition, the surface noble metal atoms have empty "d" or "s" orbitals that are electron deficient thus enabling complexation with compounds that act as lewis bases or contain lewis-base moieties. Stability constant refers to the equilibrium reaction between the metal cation and the ligand (lewis-base or lewis-base moieties) to form a 5 chelating complex.

Exemplary ligands and corresponding stability constants for some metals are listed in the following table.

Metal Ion (1)	Ligand	Stability Constant (2)
Platinum(+2)	Chloride ion	14 (25 °C, 1.0)
	Thiosulfate ion	43.7 (25 °C, 0.5)
Palladium(+2)	Chloride ion	9.5 (25 °C, 0.5)
	Thiosulfate ion	35 (25 °C, 0.5)
Aluminum(+3)	Citrate ion	11.7 (25 °C, 0.5)

*Notes:*

10 (1) The oxidation state of the metal ion is indicated within parentheses.  
 (2) The stability constant values are derived from the National Institute of Standards and Technology (NIST) Critically Selected Stability Constants of Metal Complexes, Database Version 5.0, September 1998. The temperature of measurement and the ionic strength are indicated within parentheses.

15 The high stability constant for the noble metal (for example: platinum) and thiosulfate enhances the dissolution rate of the noble metal from the substrate surface, thereby increasing the noble metal removal rates during CMP.

20 Either inorganic and/or organic compounds or both containing sulfur are used in an embodiment of the polishing composition to enhance removal of the noble metal present in the substrate. In one embodiment, the polishing composition contains about 0.1% to about 50% by weight of an inorganic or organic sulfur-containing compound.

25 Examples of an organic "sulfur-containing" compound that are used in the polishing composition of this invention include but are not limited to amino alkane thiols such as 2-aminoethane thiol; alkyl mercaptans such as tert-dodecyl mercaptan, and mixtures of C<sub>10</sub>-C<sub>11</sub> tertiary mercaptans; mercaptocarboxylate esters of polyols such as ethylene glycol bis (thioglycolate), ethylene glycol bis (mercaptoacetate), trimethylolpropane tris (thioglycolate), trimethylolpropane tris(mercaptoacetate), pentaerythritol tetrakis(thioglycolate), pentaerythritol tetrakis(mercaptoacetate); thioglycolic acid, beta-mercaptopalanine, 2-mercaptopbenzoic acid, 2-mercaptopbenzothiazole, 2-mercaptopbenzothiazyl

disulfide, mercaptoethanol, beta-mercaptopethylamine hydrochloride, N-(2-mercaptopethyl)benzene sulfonamide, 2-mercaptop-4-hydroxypyrimidine, 2-mercaptopimidazoline, mercaptomerin sodium, beta-mercaptopropionic acid, 6-mercaptopurine, mercapto succinic acid, and 2-mercaptopthiazoline; aromatic sulfides such as 5 diphenyl sulfide; and aromatic sulfoxides such as diphenyl sulfoxide and the like.

Examples of an inorganic "sulfur-containing" compound in an embodiment of the polishing composition include metal salts of acids such as thiosulfuric acid, disulfurous acid, polythionic acid, peroxodisulfuric acid and combinations thereof.

In an embodiment of the polishing composition, an organic additive is used up to 10 about 10% by weight, based on the weight of the polishing composition. The organic additive functions as an encapsulating, suspending means for the abrasive particles, to minimize scratching associated with the hard abrasive particles and to improve the overall uniformity of the substrate surface. Alternatively, the organic additive improves the surface quality of the semiconductor substrate being polished by adsorbing onto the target metal layer as well as 15 protecting the dielectric and associated barrier layer during the polishing process. Another use of the organic additive is to act as a pH buffer to stabilize the pH of the polishing composition. Exemplary organic additives contain hydroxy, carboxy, thiol, mercapto, amino groups and include compounds such as phthalates for e.g. ammonium hydrogen phthalate and potassium phthalate. Further, exemplary organic additives with carboxy moieties include organic acids 20 with carboxylate, hydroxyl, sulfonic and phosphonic groups. Examples of organic acids are citric acid, lactic acid, malic acid and tartaric acid. The use of acid species for suppression of the rate of removal of the dielectric layer is described in detail in US Pat. No. 5,476,606 which is herein incorporated by reference.

Uniform removal rates are a function of the pH of the polishing composition. Thus, a 25 polishing composition with a stable pH is desired. In an embodiment, the polishing composition has a pH in a range of about 1.5 to 5 and contains abrasive particles made of alpha-alumina and gamma alumina. It is found that the time to attain a stable equilibrium pH value is a function of the weight percent of alpha-alumina and gamma-alumina in the abrasive. Thus, in polishing compositions containing alpha-alumina and gamma-alumina in 30 the abrasive, pH stability is ensured by the addition of aluminum ions at molar concentrations up to 10 M. In an embodiment, dissolved aluminum(III) ions are provided at an initial concentration of 1M to obtain a polishing composition with a stable pH value of about 2. The molar concentration of dissolved aluminum ions at a particular pH is determined from

solubility diagrams of alumina at various pH values illustrated in the Figure 1. Figure 1 is derived from Figure 4, in the Aluminum Section of the Atlas of Electrochemical Equilibria, Marcel Pourbaix, 1966. In another embodiment, an organic acid such as citric acid is added in concentrations up to 2M to obtain a polishing composition with a stable pH. A stable pH as defined herein is a pH value that fluctuates by less than 0.5 pH units.

As discussed herein, various physical, chemical and mechanical parameters control the quality of the polished substrate surface. Polishing pressure or downforce controls the rate of polishing. A higher downforce results in a faster polishing rate while a lower downforce yields a polished surface of better quality since the abrasive particles do not scratch the substrate surface to the same extent as at higher downforce values. Downforce values in a range of about 0.7 kPa to about 70 kPa are used during CMP. In CMP, the substrate (glass disk or semiconductor wafer) to be polished is mounted on a carrier or polishing head of the polishing apparatus. The exposed surface of the semiconductor substrate is placed against a rotating polishing pad. The surface of the polishing layer of the polishing pad that is in contact with the semiconductor device is referred to as the polishing layer. The polishing pad may be a known pad (without any abrasive in the polishing layer) also referred to herein as the non fixed-abrasive pad or a fixed-abrasive pad (containing abrasive in the polishing layer). The carrier head provides a controllable pressure (or downforce), on the substrate to push it against the polishing pad. A polishing composition with or without abrasive particles is then dispensed at the interface of the wafer and the polishing pad to enhance removal of the target layer (for e.g., metal in metal CMP processes). The polishing composition is typically water based and may or may not require the presence of abrasive particles, depending on the composition of the polishing layer of the polishing pad. An abrasive-free polishing fluid also referred to as a reactive liquid is typically used with a fixed-abrasive pad while a polishing fluid containing abrasive particles is typically used with a non fixed-abrasive pad. For polishing softer metal interconnects, such as copper, the polishing fluid can contain up to 3% by weight of abrasive particles. Typical abrasive particles that are used in CMP polishing of semiconductors are alumina, ceria, silica, titania, germania, diamond, silicon carbide, boron carbide, boron nitride, or combinations thereof. The polishing composition of this invention contains abrasive particles at about 0.5% to 55% by weight of the polishing composition.

Polishing is effected by lateral motion of the substrate relative to the polishing pad. The motion may be linear or circular or a combination thereof. The polishing pad surface has an initial micro-texture that is regenerated during polishing use of the pad by mechanical

means for forming micro-texture, mounted on the polishing apparatus. The mechanical means is typically a 100-grit conditioning disk supplied by Abrasive Technology, Inc. The micro-texture reconditioning step is preferably performed at intervals during the polishing process, either during the step of applying the substrate against the pad, or more preferably during intervals when the substrate is disengaged from the pad. A suitable polishing apparatus equipped with a means for re-conditioning the pad surface (to regenerate micro-texture) is disclosed in U.S. Patent 5,990,010. Polishing is terminated when the substrate achieves the desired degree of flatness with the metal layer being completely removed. An example of a polishing pad that may be used is a urethane polishing pad with a closed-cell structure.

10 **Example 1**

This example illustrates a significant improvement in the selectivity for platinum removal through the addition of thiosulfate ions to the polishing composition. The polishing experiment was performed on 200 mm wafers coated with platinum and silicon dioxide derived from TEOS using an IC1000-XY groove polishing pad, available from Rodel, Inc. (based in Newark, Delaware, USA), on a Strasbaugh 6DS-SP polishing machine. The IC1000-XY groove polishing pad was used along with a SUBA IV polishing pad as the sub-pad. SUBA IV polishing pads are also available from Rodel, Inc.

Polishing was performed under the following conditions:

20 Polishing downforce: 4 psi  
Platen speed: 80 rpm  
Carrier speed: 60 rpm  
Back pressure: 0 psi  
Slurry flow: 200 ml/min  
Polishing Duration: 60 seconds.

25 The IC1000-XY polishing pad was conditioned using two sweeps of a 4-inch, 100 grit diamond conditioner disc of the type available from Abrasive Technology, Inc. with constant flushing with deionized water. A platen speed of 50 rpm was utilized along with a downforce of 14 lbs during the conditioning cycle. The polished wafers were buffed utilizing a Politex pad and deionized water.

30 Different formulations of the polishing composition were prepared and used to polish the 200 mm wafers with platinum and silicon dioxide derived from TEOS. Table 1 presents platinum removal rate (RR) data and roughness values for the polished wafer surface for this experiment. All formulations of the polishing composition had a pH of 2. In addition,

hydrochloric acid was added to each formulation at about 0.1% by weight of the formulation. The roughness values were measured using Digital Instruments Dimension 5000 Atomic Force Microscope utilizing a 20 micron by 20 micron scan area.

Table 1 – Platinum Removal Rates (Å = Angstroms)

Slurry	Alpha-Alumina wt %	Citric Acid (wt %)	Sodium Thiosulfate (wt %)	Platinum RR (Å/min)	TEOS RR (Å/min)	Pt:TEOS Selectivity	RMS (nm)
Baseline	1.0	0.00	0.00	713	29	24.4	0.96
A1	1.0	0.00	0.00	648	27	24.3	0.88
B1	1.0	0.00	0.1	1296	55	23.7	0.78
C1	1.0	0.00	0.3	1560	79	19.7	0.77
D1	2.0	0.00	0.2	1661	108	15.4	1.06
E1	3.0	0.00	0.1	1469	47	31.6	0.94
F1	3.0	0.0	0.3	1726	61	28.2	0.67
G1	1.0	0.2	0.2	1447	36	39.9	0.56
H1	2.0	0.2	0.2	1555	51	30.3	0.7

5

As indicated by data in Table 1, for a fixed abrasive concentration, platinum removal rate is increased by the addition of thiosulfate ions. In addition, increasing the weight percent of alumina for a fixed concentration of thiosulfate ions increases the platinum removal rate. For a fixed abrasive concentration, adding citric acid improves the selectivity for platinum removal due to a decrease in oxide rate.

10 Example 2

200 mm wafers coated with platinum and silicon dioxide derived from TEOS were polished utilizing a Westech 372U polishing machine (available from IPEC/SPEEDFAM). All conditions were identical to those in Example 1, with the exception of downforce, which 15 was changed to 4 psi. Different formulations of the polishing composition were prepared and used to polish the 200 mm wafers. Table 2 presents platinum removal rate data and roughness values for the polished wafer surface. All formulations of the polishing composition had a pH of 2. Surface Roughness values were measured using Digital Instruments Dimension 5000 Atomic Force Microscope utilizing a 20 micron by 20 micron scan area.

20

Table 2 ~ Platinum Removal Rates (HCl = hydrochloric acid; Pt = Platinum; Å = Angstroms)

Slurry	Alpha-Alumina wt %	Particle Size (nm)	HCl (wt %)	Citric Acid (wt %)	Pt RR (Å/min)	TEOS RR in (Å/min)	Pt:TEOS Selectivity	Ra (nm)
A2	1.0	190	0.037	0.0	666	28	23.8	0.95
B2	1.0	190	0.037	0.0	672	34	19.8	0.80
C2	1.0	190	0.1	0.2	616	42	14.7	0.91
D2	1.0	190	0.3	0.2	585	37	15.7	0.73
E2	2.0	250	0.0	0.0	568	16	36.4	0.43
F2	4.0	250	0.0	0.0	560	15	38.1	0.27

As may be seen from Table 2, the selectivity for platinum removal was enhanced through the addition of higher weight percentages of alumina for a given particle size.

### 5 Example 3

This example illustrates attainment of a stable pH value through the addition of aluminum ions and/or organic acids such as citric acid. A soluble aluminum salt such as aluminum chloride, aluminum citrate and/or aluminum nitrate can be used to provide aluminum ions. Aluminum chloride was used in this example. The following table illustrates 10 the time to attain a stable pH (equilibrium) value in a range of about 3.7 to 4 for formulations of the polishing composition containing abrasives with varying weight percentages of alpha-alumina. The initial pH of each formulation of the polishing composition was 2.0 and the total abrasive concentration was held constant at 30 wt %.

Table 3 – Time to attain a Stable pH

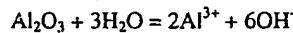
Sample No.	Percent alpha-alumina	Percent gamma-alumina	Time to Attain Stable pH value (in days)
1	0	100	1
2	75	25	9
3	99	1	37

15

A stable pH is obtained when a fixed dissolved concentration of Aluminum(III) ( $Al^{3+}$ ) ions is present in solution. The fixed dissolved Aluminum(III) concentration corresponds to the equilibrium concentration, for a specified pH value, based upon the solubility of hydrated alumina. Equilibrium  $Al(III)$  ion concentrations at a specific pH are obtained from a 20 solubility diagram such as Figure 1. As shown in Figure 1, the final pH value in a range of about 3.7 to 4 corresponds to a dissolved aluminum concentration of 0.001M. Gamma-alumina dissolves faster than alpha-alumina, thus the time to attain a stable pH value is much

shorter when the abrasive in the polishing composition contains only gamma-alumina. In contrast, the time to equilibrium when the abrasive comprises primarily alpha-alumina is much longer. By providing an initial fixed dissolved Aluminum(III) concentration in solution, a polishing composition with an immediately stable pH value is obtained.

5      Addition of an organic acid such as citric acid also stabilizes the pH of alumina slurries. Alumina particles dissolve until the dissolved aluminum (III) concentration in solution is the equilibrium value corresponding to a given pH value. The dissolution reaction causes hydroxyl ions to be released, according to the following reaction:



10     When citric acid is present in the polishing composition in a proportionate amount, it complexes with the aluminum(III) ions. As a consequence of this complexation reaction, hydrogen ions are released, neutralizing the hydroxyl ions released by the above dissolution process, resulting in a stable pH.

## CLAIMS:

1. An aqueous composition for chemical-mechanical polishing of a semiconductor substrate with a noble metal layer, a barrier layer, and a dielectric layer, characterized by:
  - 5 a ligand-containing compound at about 0.1% to about 50% by weight of the polishing composition, with said ligand having a stability constant with said noble metal in a range of about 5 to about 100.
  2. A composition according to claim 2 wherein the ligand-containing compound is an inorganic sulfur-containing compound or an organic sulfur-containing compound.
  - 10 3. A composition according to claim 3 wherein the sulfur-containing organic compound is selected from the group consisting of aromatic sulfide, aromatic sulfoxide, amino alkyl thiols, alkyl mercaptans, mercaptocarboxylate esters, thioglycolic acid, mercaptoalanines, mercaptoaromatic acids, mercaptoaromatic thiazoles, mercaptoaromatic thiazyl disulfides, mercaptoalkanols, mercaptoalkyl amine hydrochlorides, mercaptoalkyl aromatic sulfonamides, mercaptopropionic acid and mercapto succinic acid.
  - 15 4. A composition according to claim 3 wherein the sulfur-containing inorganic compound is a metal salt of an acid selected from the group consisting of thiosulfuric acid, disulfurous acid, polythionic acid, peroxodisulfuric acid and combinations thereof.
  - 20 5. A composition according to claim 4 wherein said abrasive is alpha-alumina.
  6. A composition according to claim 5 wherein said organic compound is an organic acid selected from the group consisting of dicarboxylic acids, tricarboxylic acids and hydroxy acids.
  - 25 7. A composition according to claim 6 wherein the tricarboxylic acid is citric acid and the pH of the aqueous composition is in a range of about 1.5 to about 5; with a stable pH value being attained by the addition of aluminum (III) ions at a molar concentration of up to about 10 M.
  8. A method of polishing a surface of a semiconductor substrate having a noble metal circuit comprising the steps of:
    - 30 i. positioning said substrate in a polishing machine such that the substrate is fixedly attached to a carrier in said polishing machine;

- ii. providing a polishing pad with a polishing layer having a polishing surface, fixedly attached to a platen in said polishing machine;
- iii. contacting said substrate fixedly attached to the carrier and the polishing pad fixedly attached to the platen while maintaining a relative motion between the pad and the substrate under a fixed pressure or downforce; and
- iv. dispensing an aqueous polishing composition onto the polishing pad at the interface of the substrate and the polishing surface of the polishing pad so that the moving pressurized contact of the substrate against the polishing pad results in a substantially planarized surface of the substrate; wherein the polishing composition is the polishing composition of claim 1.

5

9. A method according to claim 8 performed with the polishing composition of claim 5.
10. A method according to claim 8 performed with the polishing composition of claim 7.

10

1 / 1

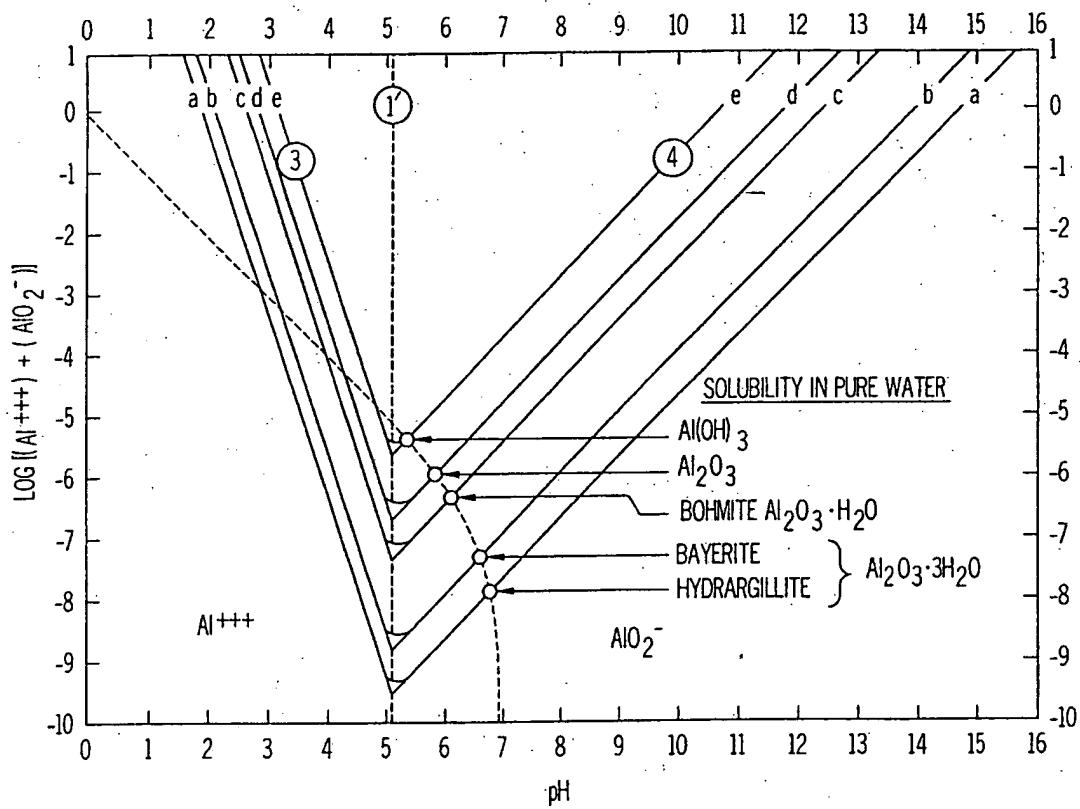


FIG. 1

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 00/33527A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 C09G1/02

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 C09G H01L C09K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, X	WO 99 64527 A (RODEL HOLDINGS INC) 16 December 1999 (1999-12-16) page 5, line 18-21 page 5, line 34 -page 6, line 4	1-3, 8
A	EP 0 325 232 A (MITSUBISHI CHEM IND) 26 July 1989 (1989-07-26) page 2, line 38-50 page 3, line 40	1, 2, 4, 5, 8
A	EP 0 823 465 A (SHOWA DENKO KK) 11 February 1998 (1998-02-11) page 4, line 11-20 page 4, line 35-37 page 5, line 34-39	1, 2, 4, 6, 8

 Further documents are listed in the continuation of box C. Patent family members are listed in annex.

## \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*S\* document member of the same patent family

Date of the actual completion of the international search

1 March 2001

Date of mailing of the international search report

15/03/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax (+31-70) 340-3016

Authorized officer

Miller, A

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 00/33527

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	DATABASE WPI Section Ch, Week 199818 Derwent Publications Ltd., London, GB; Class G04, AN 1998-205735 XPO02161744 & RU 2 089 587 C (GETA ENTERP), 10 September 1997 (1997-09-10) abstract -----	1,2,4

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No	PCT/US 00/33527
------------------------------	-----------------

Parent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9964527	A 16-12-1999	NONE	
EP 0325232	A 26-07-1989	JP 1188264 A 27-07-1989 JP 2632889 B 23-07-1997 JP 1205973 A 18-08-1989 JP 2632898 B 23-07-1997 DE 68927116 D 17-10-1996 DE 68927116 T 06-02-1997 KR 141694 B 15-06-1998 US 4956015 A 11-09-1990	
EP 0823465	A 11-02-1998	JP 10046140 A 17-02-1998 KR 231451 B 15-11-1999 US 5800577 A 01-09-1998	
RU 2089587	C 10-09-1997	NONE	